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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : PATENT
VLADIMIR JOVANCICEVIC, et al. :
Serial No.: 10/720,655 : Group Art Unit: 1712
Filed: November 24, 2003 : Examiner:
For: ALUMINUM CARBOXYLATE DRAG : Docket No.: 194-30871-US
REDUCERS FOR HYDROCARBON : Date: May 24, 2004
EMULSIONS :

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BEFORE MAILING DATE OF EITHER A FINAL
ACTION OR NOTICE OF ALLOWANCE — 37 CFR §1.97(c)

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

1. Time of Transmittal of Accompanying Supplemental Information Disclosure
Statement

The Supplemental Information Disclosure Statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:

- (1) a final Action under §1.113 or
- (2) a Notice of Allowance under §1.311, whichever occurs first.

The document is in English and thus no translation or explanation of its relevance is necessary.

2. Certification, Petition and Fee

Accompanying this transmittal is:

- ☒ A. a certification as specified in 37 CFR §1.97(e).
- ☐ B. the fee set forth in 37 CFR §1.17(p) for submission of an Information

Disclosure Statement under §1.97(c). (\$180.00).

3. Fee Payment

☐ Applicant elects the option to pay the fee set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under §1.97(c) (\$180.00).

Fee due: \$180.00.

4. Method of Payment of Fee

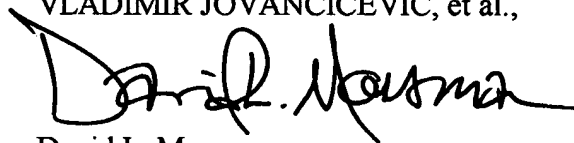
☐ Attached is a check for \$_____.

☐ Please charge Account 02-0429 \$180.00. If any additional petition fees are due for this item only, please charge Account 02-0429.

5. Miscellaneous

☒ In an abundance of caution, a copy of the Partial PCT International Search Report for the corresponding International application No. PCT/US03/37692 is being provided. WO 02/086381 A1, cited in the Partial PCT International Search Report, is not being listed on the enclosed PTO/SB/08A or being provided because this application corresponds to U.S. Patent Application No. 2002/0183214 A1 which is cited on the enclosed PTO/SB/08A.

Respectfully submitted,
VLADIMIR JOVANCICEVIC, et al.,

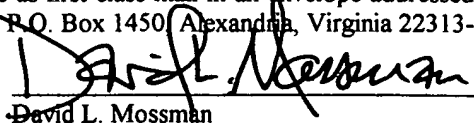


David L. Mossman
Registration No. 29,570
Attorney for Applicant
Phone: 512/219-4026
Facsimile: 512/219-4036

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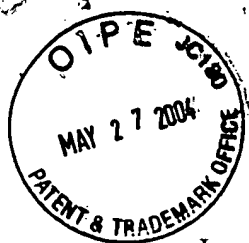
MAY 24, 2004

Date of Deposit

 5/24/2004

David L. Mossman

Date



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CERTIFICATION FOR SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37 CFR §1.97(e)

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

1. Identification of Supplemental Information Disclosure Statement for which this
Certification is Being Made

This certification is being made for the Supplemental Information Disclosure
Statement accompanying this certification;

☒
☐

Statement filed: _____

2. Certification

I, the person signing below, certify:

☒
☐

that each item of information contained in the Information Disclosure Statement
was cited in a communication from a foreign patent office in a counterpart foreign
application not more than three months prior to the filing of the Statement. 37 CFR
§1.97(e)(1).

☐

that no item of information contained in the Information Disclosure Statement was
cited in a communication from a foreign patent office in a counterpart foreign
application. 37 CFR §1.97(e)(2), or that no item of information contained in the
Information Disclosure Statement, to the knowledge of the person signing the
certification after making reasonable inquiry, was known to any individual designat-
ed in §1.56(c) more than three months prior to the filing of the statement. 37 CFR
§1.97(e)(2).

3. Identification of Person Making This Certification

The person making this certification is:

☐ The inventor who signs below.

Signature

Typed or printed name

☐ A person who is substantially involved in the preparation or prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 CFR §1.56(c)) and who signs below:

Signature

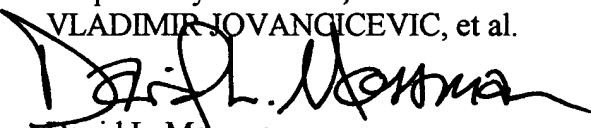
Typed or printed name

Address

Relationship

☒ The attorney who signs below on the basis of:
☐ the information supplied by the inventor.
☐ the information supplied by an individual designated in §1.56(c).
☒ the information in the attorney's file.
☐ information found by the attorney while conducting research on another matter.

Respectfully submitted,
VLADIMIR JOVANGICEVIC, et al.



David L. Mossman

Registration No. 29,570

Attorney for Applicant

Telephone No. 512/219-4026

Facsimile No. 512/219-4036

Madan, Mossman & Sriram, P.C.

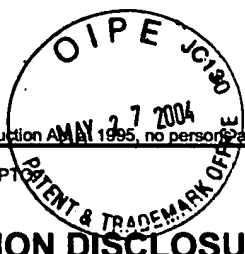
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David L. Mossman 5/24/2004
David L. Mossman Date



Application Number	10/720,655
Filing Date	11/24/2003
First Named Inventor	VLADIMIR JOVANCICEVIC
Art Unit	1712
Examiner Name	
Attorney Docket Number	194-30871-US

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/720,655
Filing Date	11/24/2003
First Named Inventor	VLADIMIR JOVANCICEVIC
Art Unit	1712
Examiner Name	
Attorney Docket Number	194-30871-US

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		WPI/Derwent Abstract 1986-325112 of SU1227902, 1986.	
		Partial PCT International Search Report for International Application No. PCT/US03/37692, 05/14/2004.	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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